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ALGÈBRE ET THÉORIE DES NOMBRES

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2017, p. 79-108.

<http://pmb.cedram.org/item?id=PMB_2017____79_0>

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*Publication éditée par le laboratoire de mathématiques
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MODELS OF TORSORS UNDER ELLIPTIC CURVES

by

Kentaro Mitsui

Abstract. — We study the special fibers of the minimal proper regular models of proper smooth geometrically integral curves of genus one over a complete discrete valuation field. We classify the configurations of their irreducible components when the residue field is perfect. As an application, we show the existence of separable closed points of small degree on the original curves when the residue field is finite. Finally, we extend this result under mild assumptions on the residue field and the degenerations of their Jacobians.

Résumé. — Nous étudions les fibres spéciales des modèles propres réguliers minimaux de courbes propres lisses géométriquement intègres de genre un sur un corps de valuation discrète complet. Nous classifions les configurations de leurs composantes irréductibles quand le corps résiduel est parfait. En guise d'application, nous montrons l'existence de points fermés séparables de petit degré des courbes originales quand le corps résiduel est fini. Finalement, nous étendons ce résultat sous des hypothèses faibles sur le corps résiduel et la dégénérescence de la jacobienne.

1. Introduction

Let K be a complete discrete valuation field. We denote the valuation ring of K by O_K , and the residue field of O_K by \bar{K} . Set $C := \text{Spec } O_K$, and $\bar{C} := \text{Spec } \bar{K}$. Let E_K be a K -elliptic curve. Choose $\tau \in H^1(K, E_K)$. We denote the K -torsor under E_K corresponding to τ by X_K . Then X_K is a proper smooth geometrically integral K -curve. For a closed point x on X_K , we denote the residue field of X_K at x by $k(x)$. If $k(x)/K$ is separable, then the closed point x is said to be *separable*. Take a minimal proper regular C -model X of X_K . Set $\bar{X} := X \times_C \bar{C}$. This paper is divided into two parts. We study the geometry of \bar{X} in the first part (§3) and separable closed points on X_K in the last part (§4).

In the first part, we classify the configurations of the irreducible components of \bar{X} when \bar{K} is perfect (see §3.8 for the dual graphs). The classification generalizes the case where

2010 Mathematics Subject Classification. — 11G20, 14G05, 11G07.

Key words and phrases. — elliptic curves, torsors, curves of genus one, models, degenerations, dual graphs, rational points.

\bar{K} is algebraically closed, or $X_K = E_K$ [12, §10.2.1]. If \bar{K} is algebraically closed, then the intersection matrix of the irreducible components of \bar{X} may be described by means of a Dynkin diagram. We obtain the dual graphs of the special fibers of proper regular C -models of X_K by studying birational morphisms between these models. Our classification is based on the classification of finite quotients of these dual graphs.

In the last part, we show the existence of a separable closed point on X_K of small degree. We denote the degree of a finite field extension l/k by $[l : k]$. The minimal positive integer among all degrees of zero-cycles on X_K is called the *index of X_K* , and denoted by $I(X_K)$. Note that the equality

$$I(X_K) = \gcd\{[k(x) : K] \mid x \text{ is a closed point on } X_K\}$$

holds. In particular, for any closed point x on X_K , the relation $I(X_K) \mid [k(x) : K]$ holds.

Theorem 1.1. — *We use the same notation as above. Then there exists a separable closed point x on X_K such that $[k(x) : K] = I(X_K)$.*

In the proof of the above theorem, we use the classification in the first part when \bar{K} is finite, i.e., K is a local field (Remark 4.2). The order of \bar{X} in the abelian group $H^1(K, E_K)$ is called the *period of X_K* , and denoted by $P(\bar{X})$. We denote the Brauer group of a field k by $\text{Br}(k)$. If $\text{Br}(K) = 0$, or \bar{K} is finite, then $P(\bar{X}) = I(X_K)$ ([11, §1, Thms. 1 and 3] and [15, p. 283, Cor.]). As a corollary, we obtain the following.

Corollary 1.2. — *We use the same notation as above. Assume that $\text{Br}(K) = 0$, or \bar{K} is finite. Then there exists a separable closed point x on X_K such that $[k(x) : K] = P(\bar{X})$.*

Remark 1.3. — Assume that \bar{K} is perfect. Then $\text{Br}(K) = 0$ if and only if $\text{Br}(\bar{K}) = 0$, and there does not exist a non-trivial cyclic extension of \bar{K} [16, XII.3, Thm. 2].

A *global field* is a finite extension of \mathbb{Q} or $k(t)$ where k is a finite field. When K is replaced by a global field, a statement analogous to the above corollary does not hold (Example 4.3). The conclusion of the above corollary does not hold in general when \bar{K} is not finite (Example 4.17). However, we prove Theorem 1.6 below in the case where E_K has good reduction or toric reduction (Definition 4.1).

Definition 1.4. — A field k is said to be *WC-trivial for elliptic curves* if $H^1(l, E_l) = 0$ for any finite separable field extension l/k and any l -elliptic curve E_l .

Example 1.5. — A field k is WC-trivial for elliptic curves in the following cases:

1. k is separably closed;
2. k is finite [9, Thm. 1];
3. k is pseudo-algebraically closed [4, 11.2.5], e.g., k is an infinite algebraic field extension of a finite field.

Theorem 1.6. — *We use the same notation as above. Assume that \bar{K} is perfect and WC-trivial for elliptic curves, and that, for any finite field extension \bar{K}'/\bar{K} , there does not exist a Galois extension of \bar{K}' with Galois group $(\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z})$. Suppose that E_K has good reduction or toric reduction. Then there exists a separable closed point x on X_K such that $[k(x) : K] = P(\bar{X})$.*

Example 1.7. — Assume that \bar{K} is an algebraic field extension of a finite field. Then the assumptions on \bar{K} in Theorem 1.6 are satisfied (Example 1.5). If \bar{K} is not algebraically closed, then $\text{Br}(K) = 0$ (Remark 1.3).

2. Notation and Convention

We denote the cardinality of a finite set S by $|S|$, and the trivial group by 1 . For $n \in \mathbb{Z}_{>0}$, we denote the cyclic group of order n by Z_n , the dihedral group of order $2n$ by D_{2n} , the alternative group of degree n by A_n , and the symmetric group of degree n by S_n . Let k be a field. For a Galois extension l/k , we denote the Galois group of l/k by $G_{l/k}$. A k -curve is a separated k -scheme of finite type of pure dimension one. Let Y be a proper k -scheme. For a coherent \mathcal{O}_Y -module F on Y , the *Euler–Poincaré characteristic of F* is defined as

$$\sum_{i \geq 0} (-1)^i \dim_k H^i(Y, F),$$

and denoted by $\chi_k(F)$. Take the normalization Y° of Y . Set $H^0(Y) := H^0(Y, \mathcal{O}_Y)$, and $h^0(Y) := \dim_k H^0(Y)$. Assume that Y is a k -curve. The *arithmetic genus of Y* is defined as $1 - \chi_k(\mathcal{O}_Y)$, and denoted by $p_a(Y)$. When Y is a smooth geometrically integral k -curve, the arithmetic genus of Y is called the *genus of Y* , and denoted by $g(Y)$. For a line bundle L on Y , the *degree of L* is defined as $\chi_k(L) - \chi_k(\mathcal{O}_Y)$, and denoted by $\deg_k L$ [12, 7.3.29]. Let Z be a scheme. We denote the reduction of Z by Z_{red} , the regular locus of Z by Z_{reg} , and the non-regular locus of Z by Z_{sing} . Let Y be a Z -scheme with structure morphism $g: Y \rightarrow Z$. We denote the group of Z -automorphisms of Y by $\text{Aut}(Y/Z)$. For a Z -scheme $S = \text{Spec } k$, we set $Y(k) := \text{Hom}_Z(S, Y)$. We denote the union of images of $Y(k)$ by the same notation. Let Z' be a closed subscheme of Z with closed immersion $h: Z' \rightarrow Z$. We denote the closed subscheme $Z' \times_Z Y$ of Y given by the base change of h via g by $g^{-1}(Z')$. We use the notation $K, \mathcal{O}_K, \bar{K}, C,$ and \bar{C} introduced in §1. Set $C_K := \text{Spec } K$.

3. Classification of Special Fibers

3.1. Special Fibers. — Let X_K be a proper regular K -curve. Take a proper regular C -model $f_X: X \rightarrow C$ of X_K . Set $\bar{X} := f_X^{-1}(\bar{C})$. Then we have the canonical isomorphisms $X_K = X \times_C C_K$ and $\bar{X} = X \times_C \bar{C}$, and the diagram of schemes and morphisms with Cartesian squares

$$\begin{array}{ccccc} X_K & \xrightarrow{X_K} & X & \xrightarrow{\bar{X}} & \bar{X} \\ \left| \begin{array}{c} f_{X_K} \\ \text{open} \end{array} \right. & & \left| \begin{array}{c} f_X \\ \text{open} \end{array} \right. & & \left| \begin{array}{c} f_{\bar{X}} \\ \text{closed} \end{array} \right. \\ C_K & \xrightarrow{C_K} & C & \xrightarrow{\bar{C}} & \bar{C} \end{array}$$

where the upper horizontal arrows are the first projections, the left and right vertical arrows are the second projections, and the left and right lower horizontal arrows are the canonical open and closed immersions, respectively. We may regard \bar{X} as a divisor on X .

Definition 3.1. — A divisor D on X is said to be *vertical* if the support of D is contained in that of \bar{X} . We denote the set of vertical prime divisors on X by $P(X)$. Let D_1 be a divisor on X , and D_2 be a vertical divisor on X . We denote the *intersection number of D_1 and D_2*

by $D_1 \cdot D_2$ [12, 9.1.12]. Set $P^{(2)}(X) := \{Q \in P(X) \mid |Q| = 2\}$. Take $a = \{ \nu_1, \nu_2 \} \in P^{(2)}(X)$. We denote the closed subscheme $(\nu_1 \times_X \nu_2)_{\text{red}}$ of X by ν_a . For $s \in \nu_a$, we define the *intersection number of a at s* by

$$i(a, s) := \dim_{\bar{K}} O_{X,s} / (O_{X,s}(-\nu_1) + O_{X,s}(-\nu_2)).$$

Remark 3.2. — If $0 < D_2 \leq \bar{X}$, then $D_1 \cdot D_2 = \deg_{\bar{K}} O_X(D_1) / D_2$ [12, 9.1.12(d)]. If $D_2 \leq P(X)$, then $D_1 \cdot D_2 = \deg_{\bar{K}} O_X(D_1)$, where $\nu : D_2 \rightarrow X$ is the composite of the normalization $D_2 \rightarrow D_2$ of D_2 and the canonical closed immersion $D_2 \rightarrow X$ [12, 9.1.14].

Remark 3.3. — For any $a = \{ \nu_1, \nu_2 \} \in P^{(2)}(X)$, the equality $\nu_1 \cdot \nu_2 = \sum_s \nu_a i(a, s)$ holds [12, 9.1.1 and 9.1.12(a)].

Definition 3.4. — We denote the open subscheme $(\bar{X}_{\text{red}})_{\text{reg}}$ of \bar{X}_{red} by $R(X)$, and the closed subscheme $(\bar{X}_{\text{red}})_{\text{sing}}$ of \bar{X} equipped with the reduced structure by $S(X)$. We write $\bar{X} = \sum_{P(X)} n(\nu)$, where $n(\nu) \in \mathbb{Z}_{>0}$. For $\nu \in P(X)$, the integer $n(\nu)$ is called the *multiplicity of ν in \bar{X}* . We set $m(\bar{X}) := \gcd\{n(\nu) \mid \nu \in P(X)\}$. The integer $m(\bar{X})$ is called the *multiplicity of \bar{X}* . For $\nu \in P(X)$, we set $m(\nu) := n(\nu) / m(\bar{X})$.

Remark 3.5. — Since \bar{X}_{red} is a proper \bar{K} -curve, the set $S(X)$ is finite.

Set $P := P(X)$, $P^{(2)} := P^{(2)}(X)$, $S := S(X)$, and $m := m(\bar{X})$.

3.2. Dual Graphs. — We introduce a graph that describes the multiplicities and intersection numbers of the elements of P . We give examples in §3.3.

Definition 3.6. — The special fiber \bar{X} is said to be *of integral type* if the following condition is satisfied:

0. any $\nu \in P$ is geometrically integral over \bar{K} .

We abbreviate *strongly normal crossing* to *snc*. The special fiber \bar{X} is said to be *of fundamental type* if \bar{X} is of integral type and satisfies the following conditions:

1. any $\nu \in P$ is regular;
2. \bar{X} is a snc divisor;
3. $S = \bar{X}(\bar{K})$.

Remark 3.7. — Assume that \bar{K} is algebraically closed. Then \bar{X} is of integral type, and Condition 1 is satisfied. We set

$$L_1(X) := \sum_P \nu_{\text{sing}}, \quad \text{and} \quad L_2(X) := \{x \in \bar{X}(\bar{K}) \mid \bar{X} \text{ is not snc at } x\}.$$

Then $L_i(X)$ is a finite set for any $i \in \{1, 2\}$, and the following statement holds: \bar{X} is of fundamental type if and only if $L_i(X) = \emptyset$ for any $i \in \{1, 2\}$. Set $X_0 := X$. For $i \geq 0$, we successively take the blowing-up $X_{i+1} \rightarrow X_i$ of X_i along $L_1(X_i)$ if $L_1(X_i) \neq \emptyset$. Then there exists $i_1 \geq 0$ such that $L_1(X_{i_1}) = \emptyset$ (see the proof of [12, 9.2.32]). For $i \geq i_1$, we successively take the blowing-up $X_{i+1} \rightarrow X_i$ of X_i along $L_2(X_i)$ if $L_2(X_i) \neq \emptyset$. Then there exists $i_2 \geq i_1$ such that $L_2(X_{i_2}) = \emptyset$ (see the proof of [12, 9.2.26]). Moreover, the equality

$L_1(X_i) = 0$ holds for any $i \in \{i_0, \dots, i_1, \dots, i_2\}$ [12, 9.2.31]. Thus, the special fiber of X_{i_2} is of fundamental type.

Definition 3.8. — Assume that \bar{X} is of integral type. We define the *dual graph* D of \bar{X}/m as a graph consisting of vertices with multiplicities and edges, and satisfying Condition () below. We denote the set of vertices of D by V , and the set of edges of D by E . For $v \in V$, we denote the multiplicity of v by $m(v)$. For $F \subseteq E$, we denote the set of vertices connected to an element of F by $V(F)$. For $e \in E$, we set $V(e) := V(\{e\})$. For $W \subseteq V$, we denote the set of edges connected to an element of W by $E(W)$. For $v \in V$, we set $E(v) := E(\{v\})$.

Condition (): there exists a bijection $v(\bullet) : P \rightarrow V$ such that the following statements hold. For $a \in P^{(2)}$, we set $[a] := \{e \in E \mid V(e) = v(a)\}$.

1. For any $a \in P$, the equality $m(v(a)) = m(a)$ holds.
2. The equality $E = \bigcup_{a \in P^{(2)}} [a]$ holds, i.e., the graph D has no loop.
3. For any $a = \{a_1, a_2\} \in P^{(2)}$, the equality $m(a_1) \cdot m(a_2) = \ell[a]$ holds.

Vertices. We denote $v \in V$ by a circle, and write $m(v)$ at the center of the circle.

Edges. We denote $e \in E$ by a line segment.

We denote the automorphism group of D by $\text{Aut } D$. Assume that \bar{X} is of fundamental type. We define a bijection $e(\bullet) : S \rightarrow E$ in the following way. Since $\ell[a] = \ell[a]$ for any $a \in P^{(2)}$, we may choose a bijection $s(a) \in [a]$ for each $a \in P^{(2)}$. Since $S = \bigcup_{a \in P^{(2)}} [a]$, the union of these bijections for all elements of $P^{(2)}$ gives a bijection $e(\bullet) : S \rightarrow E$.

Remark 3.9. — For any $a \in P^{(2)}$, the inequality $\ell[a] \geq \ell[a]$ holds (Statement 3 and Remark 3.3). Moreover, the equality $\ell[a] = \ell[a]$ holds if and only if $i(a, s) = 1$ for any $s \in [a]$ (see Definition 3.1 for $i(a, s)$).

3.3. Curves of Genus One and Kodaira Symbols. — In this subsection, we suppose that \bar{K} is perfect, X_K is a proper smooth geometrically integral K -curve of genus one, and X is minimal. We denote the Jacobian of X_K by E_K . Take a minimal proper regular C -model E of E_K . This model is unique up to unique C -isomorphism [12, 9.3.14]. Set $\bar{E} := E \times_C \bar{C}$, and $N := |P|$.

We denote the (extended) Kodaira symbol of \bar{E} by T_E [12, 10.2.1]. When \bar{K} is algebraically closed, we denote the Kodaira symbol of \bar{X} by mT . Then each T_E and T is equal to I_n ($n \geq 0$), I_n^* ($n \geq 0$), II, II*, III, III*, IV, or IV*. In general, the symbol T_E is equal to one of the above symbols, $I_{n,2}$ ($n \geq 1$), $I_{n,2}^*$ ($n \geq 0$), $I_{0,3}$, or IV_2 .

When \bar{K} is algebraically closed, we define a symbol D to denote the dual graph D of \bar{X}/m in the following way (Table 1). If $N = 1$, then we set $D := A_0$. Otherwise, we define D by the type of the affine Dynkin diagram corresponding to the dual graph D (without the multiplicities): A_n ($n \geq 1$), D_n ($n \geq 4$), or E_n ($n = 6, 7, \text{ or } 8$).


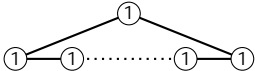
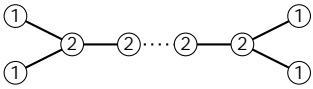
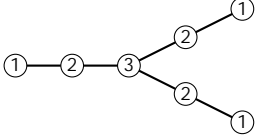
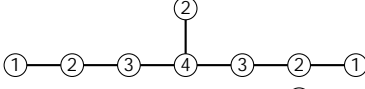
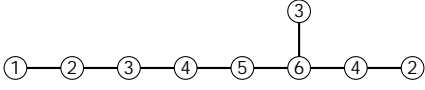
D	D	N	$\text{Aut } D$	T
A_0		1	1	I_0, I_1, II
A_{n-1} ($n \geq 2$)		n	D_{2n}	I_n III ($n = 2$) IV ($n = 3$)
D_{n-1} ($n \geq 5$)		n	S_4 ($n = 5$) D_8 ($n = 6$)	I_{n-5}
E_6		7	S_3	IV
E_7		8	Z_2	III
E_8		9	1	II

Table 1. The dual graphs in the case where \bar{K} is algebraically closed (Definition 3.8).

Remark 3.10. — Assume that \bar{K} is algebraically closed. Then the following statements hold:

1. The equality $T = T_E$ holds, and m is equal to the order of the element of the abelian group $H^1(K, E_K)$ corresponding to X_K [13, 6.6].
2. If $T = I_0$, then $P = \{ \}$, and \bar{C} is a proper smooth \bar{C} -curve of genus one. Otherwise, for any P , the normalization of \bar{C} is \bar{C} -isomorphic to $\mathbb{P}_{\bar{C}}^1$.
3. An element P is not regular if and only if $T = I_1$ or II . If these equivalent statements hold, then $N = 1$.
4. The special fiber \bar{X} is not of fundamental type if and only if $T = I_1, II, III,$ or IV .
5. If $P = \{ \}$, then $\dots = 0$. Otherwise, the equality

$$1 \cdot 2 = \begin{cases} -2 & \text{if } 1 = 2, \\ 0, 1, \text{ or } 2 & \text{otherwise} \end{cases}$$

holds for any $1 \in P$ and any $2 \in P$.

3.4. Dual Graphs with Types and Degrees. — We introduce a graph in the case where \bar{X} is not necessarily of integral type. In this graph, the vertices have two types (the first type and the second type), and the vertices and edges have degrees (Remark 3.14). We give examples in §3.8.

Definition 3.11. — Let z be a point on a locally Noetherian scheme Z . If the preimage of z under the normalization of Z consists of one point, then z is said to be *unibranch*.

Definition 3.12. — We define the *dual graph* D of \overline{X}/m (with types and degrees) as a graph consisting of two types of vertices with multiplicities and degrees and edges with degrees, and satisfying Condition () below. We introduce the notation $V, E, m(\bullet), V(\bullet),$ and $E(\bullet)$ for D in the same way as in Definition 3.8. For $v \in V$, we denote the degree of v by $d(v)$. For $e \in E$, we denote the degree of e by $d(e)$. Set $S_2 := \coprod_{a \in P^{(2)}} a$.

Condition (): there exist bijections $v(\bullet): P \rightarrow V$ and $e(\bullet): S_2 \rightarrow E$ such that the following statements hold. For $a \in P^{(2)}$, we set $[a] := \{e \in E \mid V(e) = v(a)\}$.

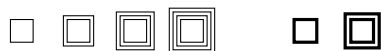
1. For any $a \in P$, the equalities $m(v(a)) = m(a)$ and $d(v(a)) = h^0(a)$ hold.
2. For any $a \in P$, the vertex $v(a)$ is of the second type if and only if a has a unibranch singularity.
3. For any $a \in P^{(2)}$ and any $s \in a \subset S_2$, the equality $d(e(s)) = i(a, s)$ holds (see Definition 3.1 for $i(a, s)$).
4. For any $a \in P^{(2)}$, the equality $e([a]) = [a]$ holds.

Vertices. Take $v \in V$.

Case 1: v is of the first type. If $d(v) = 4$, then we denote v by a multi-circle whose number of circles is equal to $d(v)$. In the general case, we denote v by a thick circle, and specify the degree $d(v)$. Then we denote a vertex of D of the first type of degree $2d(v)$ by a double thick circle. We write the multiplicity $m(v)$ of v at the center of the circle.



Case 2: v is of the second type. We denote v by a multi-square in the same way as in Case 1, where we use squares instead of circles.



Edges. Take $e \in E$. If $d(e) = 4$, then we denote e by a multi-line segment whose number of line segments is equal to $d(e)$. In the general case, we denote e by a thick line segment, and specify the degree $d(e)$. Then we denote an edge of D with degree $2d(e)$ by a double thick line segment.



Remark 3.13. — Statement 4 implies that $E = \coprod_{a \in P^{(2)}} [a]$, i.e., the graph D has no loop. Statements 3 and 4 imply that $\sum_{e \in [a]} d(e) = 1 \cdot 2$ for any $a = \{1, 2\} \in P^{(2)}$ (Remark 3.3).

Remark 3.14. — If \overline{X} is of fundamental type, then all vertices are of the first type, all degrees are equal to one, and the graph (without types and degrees) coincides with that in Definition 3.8.

3.5. Base Change. — Choose an extension K/K between complete discrete valuation fields. We denote the valuation ring of K by O_K , and the residue field of O_K by \bar{K} . Set $C_K := \text{Spec } K$, $C := \text{Spec } O_K$, and $\bar{C} := \text{Spec } \bar{K}$. We denote the canonical projection by $\pi_C: C \rightarrow C$, and the canonical homomorphism by $\tau_C: \text{Aut}(C/C) \rightarrow \text{Aut}(\bar{C}/\bar{C})$.

Remark 3.15. — Since O_K/O_K is an extension between complete discrete valuation rings, the morphism π_C is flat. Thus, the following statements are equivalent:

1. π_C is regular [7, 6.8.1 (iv)];
2. any fiber of π_C is geometrically regular [7, 6.7.6];
3. the canonical morphism $\bar{\pi}_C: \bar{C} \rightarrow \bar{C}$ is an isomorphism, and both K/K and \bar{K}/\bar{K} are separable.

Remark 3.16. — If K/K is Galois (resp. \bar{K}/\bar{K} is Galois), then we obtain canonical isomorphisms $\text{Aut}(C/C) = \text{Aut}(C_K/C_K) = G_{K/K}$ (resp. $\text{Aut}(\bar{C}/\bar{C}) = G_{\bar{K}/\bar{K}}$).

Example 3.17. — The morphism π_C is regular (Remark 3.15), the field extension \bar{K}/\bar{K} is Galois (Remark 3.16), and the homomorphism τ_C is surjective in the following cases:

- A. O_K is O_K -isomorphic to the completion of the strict Henselization of O_K [6, Cor. 5.6];
- B. the canonical morphism $\bar{\pi}_C: \bar{C} \rightarrow \bar{C}$ is an isomorphism, K/K is a Galois extension, and \bar{K}/\bar{K} is a separable field extension.

In the following, we assume that π_C is regular (Remark 3.15).

Lemma 3.18. — Assume that K is finite over K . Then π_C is finite and étale.

Proof. — Since K is finite and separable over K (Remark 3.15), the finiteness of π_C follows from [14, §33, Lem. 1]. Since π_C is regular, the morphism π_C is étale (Remark 3.15).

Take the base change $f_X: X \rightarrow C$ of f_X via π_C , and the base change $f_{\bar{X}}: X \rightarrow X$ of π_C via f_X . Set $X_K := X_K \times_{C_K} C_K$, and $\bar{X} := f_{\bar{X}}^{-1}(\bar{C})$. Then we have the followings:

1. the canonical isomorphisms $X_K = X \times_C C_K$ and $\bar{X} = X \times_C \bar{C}$, and the diagram of schemes and morphisms with Cartesian squares

$$\begin{array}{ccccc} X_K & \xrightarrow{\pi_{X_K}} & X & \xrightarrow{\pi_X} & \bar{X} \\ \left| \begin{array}{c} f_{X_K} \\ \pi_{C_K} \end{array} \right. & & \left| \begin{array}{c} f_X \\ \pi_C \end{array} \right. & & \left| \begin{array}{c} f_{\bar{X}} \\ \pi_{\bar{C}} \end{array} \right. \\ C_K & \xrightarrow{\pi_{C_K}} & C & \xrightarrow{\pi_C} & \bar{C} \end{array}$$

where the upper horizontal arrows are the first projections, the left and right vertical arrows are the second projections, and the left and right lower horizontal arrows are the canonical open and closed immersions, respectively;

2. for $W = C$ and X , the canonical isomorphisms $W_K = W \times_W W_K$ and $\overline{W} = W \times_W \overline{W}$, and the diagram of schemes and morphisms with Cartesian squares

$$\begin{array}{ccccc}
 W_K & \xrightarrow{w_K} & W & \xrightarrow{w} & \overline{W} \\
 \downarrow w_K & & \downarrow w & & \downarrow \overline{w} \\
 W_K & \xrightarrow{w_K} & W & \xrightarrow{w} & \overline{W}
 \end{array}$$

where the upper horizontal arrows are the first projections, and the left and right vertical arrows are the second projections.

We have the diagram of groups and homomorphisms with commutative squares

$$\begin{array}{ccccc}
 \text{Aut}(C_K/C_K) & \xrightarrow{r_C} & \text{Aut}(C/C) & \xrightarrow{r_C} & \text{Aut}(\overline{C}/\overline{C}) \\
 \downarrow b_K & & \downarrow b & & \downarrow \overline{b} \\
 \text{Aut}(X_K/X_K) & \xrightarrow{r_X} & \text{Aut}(X/X) & \xrightarrow{r_X} & \text{Aut}(\overline{X}/\overline{X})
 \end{array}$$

where the left horizontal arrows, the right horizontal arrows, and the vertical arrows are induced by the base changes via c_K , \overline{c} , and f_X , respectively.

Lemma 3.19. — *The C -scheme X is a proper regular C -scheme.*

Proof. — Since X is proper over C , the scheme X is proper over C . Since C is regular over C , and X is regular, the scheme X is regular [7, 6.8.3 (iii) and 6.5.2 (ii)].

Set $P := P(X)$, and $S := S(X)$. We use the following fact [7, 4.6.4].

Lemma 3.20. — *Let k be a field, k be a separable field extension of k , and Z be a reduced k -scheme. Then the base change of Z via k/k is reduced.*

Lemma 3.21. — *Let k be a field, k be a separable field extension of k , and Z be a k -scheme locally of finite type with structure morphism $f_Z: Z \rightarrow \text{Spec } k$. The field extension k/k induces a morphism $k: \text{Spec } k \rightarrow \text{Spec } k$. Take the base change $z: Z \rightarrow Z$ of k via f_Z . Then there exists a Z -isomorphism $z^{-1}(Z_{\text{sing}}) = Z_{\text{sing}}$.*

Proof. — Since $z^{-1}(Z_{\text{sing}})$ is reduced (Lemma 3.20), we have only to show the equality $z^{-1}(Z_{\text{sing}}) = Z_{\text{sing}}$ for the underlying sets. Thus, the lemma follows from [7, 6.7.4].

Lemma 3.22. — *For any P , there exists an X -isomorphism $z^{-1}(P_{\text{sing}}) = (z^{-1}(P))_{\text{sing}}$. Moreover, there exists an X -isomorphism $z^{-1}(S) = S$.*

Proof. — Since $\overline{K}/\overline{K}$ is separable, the canonical morphism $\overline{X}_{\text{red}} \rightarrow \overline{X}_{\text{red}} \times_{\overline{C}} \overline{C}$ is an isomorphism (Lemma 3.20). Thus, the lemma follows from Lemma 3.21.

Lemma 3.23. — *Let k be a field, k be a separable field extension of k , and Z be a k -scheme locally of finite type. Then the normalization of Z commutes with the base change via k/k , i.e., the following statement holds. Take the normalization $z: Z \rightarrow Z$ of Z , and the base change $z: Z \rightarrow Z$ of z via k/k . Then z is a normalization of Z .*

Proof. — Set $W := \text{Spec } k$, and $W := \text{Spec } k$. Since k/k is separable, the canonical morphism $Z_{\text{red}} \rightarrow Z_{\text{red}} \times_W W$ is an isomorphism (Lemma 3.20). Thus, we may assume that both Z and Z are reduced. We denote the disjoint union of points of codimension zero on Z and Z by H and H , respectively. Then the normalizations of Z and Z are defined as the normalizations of Z and Z in H and H , respectively. Since k/k is separable, the ring $I \otimes_k k$ is isomorphic to a product of a finite number of fields for any finitely generated field extension I/k . Thus, we obtain an isomorphism $H \times_W W = H$. Since W is regular over W , the lemma follows from [7, 6.14.5].

Lemma 3.24. — *Let k be a field, k be a field extension of k , and Z be a proper k -scheme. We define a k -scheme by $Z := Z \times_{\text{Spec } k} \text{Spec } k$. Then the following statements hold:*

1. $H^i(Z, \mathcal{O}_Z) = H^i(Z, \mathcal{O}_Z) \otimes_k k$ for any $i \geq 0$;
2. if k is separable over k , then $h^0(Z) = h^0(Z)$;
3. if Z is geometrically integral over k , then $h^0(Z) = 1$.

Proof. — Statement 1 follows from the flat base change theorem of cohomology groups [12, 5.2.27]. Statement 2 follows from Statement 1 and Lemma 3.23. Statement 3 follows from [12, 3.2.14(c) and 3.3.21].

For any P , we may regard $\bar{X}^{-1}(P)$ as a Weil divisor on X since X is flat.

Lemma 3.25. — *The following statements hold.*

1. For any P , there exists $Q \in P$ such that $\bar{X}^{-1}(P) = \sum_Q Q$. In particular, the equality $m(\bar{X}) = m(\bar{X})$ holds (Definition 3.4).
2. The equality $h^0(\bar{X}) = \sum_Q h^0(Q)$ holds.
3. If \bar{X} is of integral type, then $h^0(\bar{X}) = |\bar{Q}|$.

Proof. — Since $\bar{X}^{-1}(P)$ is reduced (Lemma 3.20), Statement 1 holds. Statement 2 follows from Statement 1 and Lemma 3.24.2. Statement 3 follows from Statement 2 and Lemma 3.24.3.

Lemma 3.26. — *Assume that \bar{X} is of integral type. Take P . Then $\bar{X}^{-1}(P) \in P$.*

Proof. — Since $\bar{X}^{-1}(P) = \sum_C \bar{C}$, and \bar{C} is geometrically integral over \bar{K} , the lemma holds.

Lemma 3.27. — *For any divisor D_1 on X and any vertical divisor D_2 on X , the equality $D_1 \cdot D_2 = \bar{X}^{-1}(D_1) \cdot \bar{X}^{-1}(D_2)$ holds.*

Proof. — The lemma follows from the Lemma 3.24.1.

Remark 3.28. — *When \bar{X} is of integral type, the multiplicities and intersection numbers of the elements of P may be determined by those of P (Lemmas 3.26 and 3.27).*

In the following, we study a relationship between the minimalities of the proper regular C -model X and the proper regular C -model X [12, 9.3.21]. Take a canonical divisor $K_{X/C}$ of X/C [12, 9.1.34]. Set $K_{X/C} := \bar{X}^{-1}(K_{X/C})$.

Lemma 3.29. — *The following statements hold:*

1. $K_{X/C}$ is a canonical divisor of X/C ;
2. if $p_a(X_K) = 1$, then the following statements hold:
 - (a) P is a (-1) -curve on X [12, 9.3.1] if and only if $K_{X/C} \cdot P < 0$;
 - (b) the proper regular C -model X is minimal if and only if there does not exist a (-1) -curve on X .

Proof. — Statements 1, 2a, and 2b follow from [12, 6.4.9(b)], [12, 9.3.10(b)], and [12, 9.2.2], respectively.

Proposition 3.30. — *Assume that $p_a(X_K) = 1$. Then the proper regular C -model X of X_K is minimal if and only if the proper regular C -model X_K of X_K is minimal.*

Proof. — Let us show the if part. Assume that X is minimal. Take $E = P$. We have only to show that $K_{X/C} \cdot E = 0$ (Lemma 3.29). Set $E := \bar{X}^{-1}(E)$. Take $Q = P$ so that $E = \bar{Q}$ (Lemma 3.25.1). Since $K_{X/C} \cdot \bar{Q} = 0$ for any Q (Lemma 3.29.1 and 2), and $K_{X/C} \cdot E = K_{X/C} \cdot \bar{Q}$ (Lemma 3.27), the inequality $K_{X/C} \cdot E = 0$ holds, which concludes the proof of the if part.

Let us show the converse. Suppose that X is minimal, and that X_K is not minimal. We may take a (-1) -curve $E = P$ on X_K (Lemma 3.29.2b). We denote $\bar{X}(E)$ with the reduced structure by \bar{E} . Then $\bar{E} = P$, and $\bar{E} = \bar{X}^{-1}(E)$. Since $K_{X/C} \cdot \bar{E} = 0$ (Lemma 3.29.2), the inequality $K_{X/C} \cdot E = 0$ holds [12, 7.1.35 and 7.2.9] (Lemma 3.29.1), which contradicts the inequality $K_{X/C} \cdot E < 0$ (Lemma 3.29.2a). Thus, the converse holds.

3.6. Quotients. — In the following subsections, we assume that K introduced in §3.5 is a finite Galois extension of k . Then both r_C and τ_C are bijective (Lemma 3.18). We denote the C_K -action of G_K/k on C_K by $c_{K/C_K}: G_K/k \rightarrow \text{Aut}(C_K/C_K)$. Set $c_{C/C} := r_C^{-1} \circ c_{K/C_K}$, $\bar{c}_{C/C} := \tau_C \circ c_{C/C}$, $x_{X/X} := b_{C/C}$, and $\bar{x}_{X/X} := \tau_X \circ x_{X/X}$. For $W = C, \bar{C}, C_K, X, \bar{X}$, and X_K , we denote the structure morphism of the C -scheme W by $f_{W/C}: W \rightarrow C$. Then the base change of $c_{C/C}$ via $f_{W/C}$ is equal to c_W . Since c_W is finite (Lemma 3.18), we may take a quotient of c_W in the category of W -schemes, which is a quotient of c_W in the category of ringed spaces [3, V.4.1 (i)].

Lemma 3.31. — *The morphism c_W is a quotient morphism of c_W in both the category of W -schemes and the category of ringed spaces. In particular, the map between underlying topological spaces associated to c_W is a quotient map of the action on the underlying topological space of W induced by c_W in the category of topological spaces.*

Proof. — Since O_C is equal to the invariant subring of O_C with respect to the action induced by $c_{C/C}$, the case $W = C$ holds (see the proof of [3, V.4.1]). We denote the constant W -group scheme induced by the group G_K/k by G_W . The W -action $G_W \times_W W \rightarrow W$ of G_W on W induced by c_W and the second projection $G_W \times_W W \rightarrow W$ induce a W -morphism

$$c_W: G_W \times_W W \rightarrow W, (g, w) \mapsto (g \cdot w, w).$$

Since C/C is free [3, IV.3.2.1], the action W/W is free. Thus, the morphism W/W is an isomorphism [3, V.4.1 (iv)] (see [3, V.2 (b)] for the terminology *un couple d'équivalence*), which concludes the proof [3, IV.3.3].

3.7. Quotients of Dual Graphs. — We take a proper regular C -model X of X_K and a finite Galois extension K/K so that \bar{X} is of fundamental type.

Example 3.32. — Whenever \bar{K} is perfect, we may always take such X and K in the following way. Take the completion \mathcal{O} of a strict Henselization of \mathcal{O}_K (Example 3.17.A). We denote the field of fractions of \mathcal{O} by K , and the residue field of \mathcal{O} by \bar{K} . Set $C := \text{Spec } \mathcal{O}$, and $\bar{C} := \text{Spec } \bar{K}$. The extension \mathcal{O}/\mathcal{O} induces a morphism $\pi : C \rightarrow \bar{C}$. Take the base change $f_X : X \rightarrow C$ of f_X via π . Set $X_0 := X$. In the same way as in Remark 3.7, we take $i_1 \in \mathbb{Z}$, $i_2 \in \mathbb{Z}$, and the successive blowing-ups $\sigma_i : X_{i+1} \rightarrow X_i$ of X_i for $i \in I_0$, where we set $I_0 := \{i \in \mathbb{Z} \mid 0 \leq i < i_2\}$. Set $\bar{X}_{i_2} := X_{i_2} \times_C \bar{C}$. Then \bar{X}_{i_2} is of fundamental type, and $\text{Aut}(C/C)$ acts on X_0 . We may show the following statements for any $i \in I_0$ by the induction on i :

1. we denote the center of σ_i by T_i ; then T_i is stable under the action of $\text{Aut}(C/C)$;
2. the action of $\text{Aut}(C/C)$ on X_i lifts to X_{i+1} .

Choose a finite Galois extension K/K in K so that $\text{Aut}(C/C)$ trivially acts on $P(X_{i_2})$ and $S(X_{i_2})$. The extension \mathcal{O}/\mathcal{O} induces a morphism $\pi : C \rightarrow \bar{C}$. Set $X_0 := X$, $X_0 := X$, and $\sigma_0 := \pi : X_0 \rightarrow X_0$. Take the base change $\sigma_0 : X_0 \rightarrow X_0$ of π via f_X . We may show the following statements for any $i \in I_0$ by the induction on i :

3. set $\sigma_i := \sigma_i \circ \sigma_i$, and $T_i := \sigma_i(T_i)$; we equip T_i with the reduced structure; then $\sigma_i^{-1}(T_i) = T_i$ over X_i ;
4. set $T_i := (\sigma_i)^{-1}(T_i)$; take the blowing-up $\sigma_i : X_{i+1} \rightarrow X_i$ of X_i along T_i , the blowing-up $\sigma_i : X_{i+1} \rightarrow X_i$ of X_i along T_i , and the base change $\sigma_{i+1} : X_{i+1} \rightarrow X_{i+1}$ of σ_i via σ_i ; then $X_{i+1} = X_{i+1}$ over X_i ;
5. take the base change $\sigma_{i+1} : X_{i+1} \rightarrow X_{i+1}$ of σ_i via σ_i ; then $X_{i+1} = X_{i+1}$ over X_i .

In particular, for any $i \in I_0$, the squares in the diagram

$$\begin{array}{ccccc}
 X_{i+1} & \xrightarrow{\sigma_{i+1}} & X_{i+1} & \xrightarrow{\sigma_{i+1}} & X_{i+1} \\
 \downarrow \sigma_i & & \downarrow \sigma_i & & \downarrow \sigma_i \\
 X_i & \xrightarrow{\sigma_i} & X_i & \xrightarrow{\sigma_i} & X_i
 \end{array}$$

are Cartesian, where we identify X_{i+1} and X_{i+1} with X_{i+1} and X_{i+1} , respectively. Set $\bar{X}_{i_2} := X_{i_2} \times_C \bar{C}$. Let us show that \bar{X}_{i_2} satisfies Conditions 0–3 in Definition 3.6. Since $\text{Aut}(C/C)$ trivially acts on $P(X_{i_2})$, Condition 0 holds. Moreover, since \bar{X}_{i_2} is of fundamental type, Conditions 1 and 2 follow from Lemma 3.22. Since $\text{Aut}(C/C)$ trivially acts on $S(X_{i_2})$, Condition 3 holds. Therefore, the special fiber \bar{X}_{i_2} is of fundamental type.

Remark 3.33. — Since \overline{X}_{i_2} is of fundamental type, the multiplicities and intersection numbers of the elements of $P(X_{i_2})$ may be determined by those of $P(X_{i_2})$ (Remark 3.28). Moreover, the projection $S(X_{i_2}) \rightarrow S(X_{i_2})$ (Lemma 3.22) induces a bijection between the underlying sets.

Definition 3.34. — We use the notation $D, \text{Aut } D, V, E, m(\bullet), V(\bullet), E(\bullet), v(\bullet): P \rightarrow V$, and $e(\bullet): S \rightarrow E$ for \overline{X}/m introduced in Definition 3.8. We have a homomorphism

$$D : \text{Aut}(\overline{X}/\overline{X}) \longrightarrow \text{Aut } D.$$

Set $D := D \times_{\overline{X}/\overline{X}}$, and $G := \text{Im } D$. For $W \subset V$, we denote the orbit of W by $O(W)$. For $v \in V$, we set $O(v) := O(\{v\})$. For $F \subset E$, we denote the orbit of F by $O(F)$. For $e \in E$, we set $O(e) := O(\{e\})$. We say that *the action fixes the center of $e \in E$* if there exists $g \in G$ that fixes e and exchanges the two vertices in $V(e)$.

We define the *quotient D of D by G* as a graph consisting of two types of vertices with multiplicities and degrees and edges with degrees in the following way. We introduce the notation $V, E, m(\bullet), d(\bullet), V(\bullet)$, and $E(\bullet)$ for D in the same way as in Definition 3.12.

Vertices. Take an orbit O of a vertex of D . Choose $v \in O$. The integer $m(v)$ does not depend on the choice of v . We put a vertex \overline{O} , and set $m(\overline{O}) := m(v)$, and $d(\overline{O}) := |O|$. If the action does not fix the center of any edge in $E(O)$, then the vertex \overline{O} is of the first type. Otherwise, the vertex \overline{O} is of the second type. For $W \subset V$, we set $\overline{W} := \{\overline{O(w)} \mid w \in W\}$.

Edges. Take an orbit O of an edge of D with $|V(\overline{O})| = 2$. We put an edge \overline{O} so that $V(\overline{O}) = \overline{V(O)}$, and set $d(\overline{O}) := |O|$. For $F \subset E$, we set $\overline{F} := \{\overline{O(f)} \mid f \in F \text{ and } |V(O(f))| = 2\}$.

Set

$$E_1 := \{e \in E \mid \text{the action fixes the center of } e\},$$

and

$$E_2 := \{e \in E \mid |V(e)| = 2\}.$$

For $i \in \{1, 2\}$, we set $S_i := (e)^{-1}(E_i)$. Then the restriction $e_i(\bullet): S_i \rightarrow E_i$ of $e(\bullet)$ to S_i and E_i is bijective for any $i \in \{1, 2\}$. We denote the set of unibranch singularities on $\overline{X}_{\text{red}}$ by S_1 (Definition 3.11). Set $S_2 := \bigcup_{a \in P(2)} a$.

Lemma 3.35. — *The following statements hold.*

1. For any $i \in \{1, 2\}$, the equality $\overline{X}^{-1}(S_i) = S_i$ holds.
2. The equality $S_1 \cap S_2 = \emptyset$ holds.
3. The canonical morphism $\bigcup_{a \in P(2)} a \rightarrow S_2$ is an isomorphism.
4. Any $s \in S_2$ is a regular point on any $\overline{X}^{-1}(P)$ with $s \in P$.
5. The equality $S = S_1 \cup S_2$ holds if and only if any singularity on any $\overline{X}^{-1}(P)$ is unibranch.

Proof. — Lemma 3.22 gives the equality $\bar{X}^{-1}(S) = S$. Choose $s \in S$. Take the two irreducible components S_1 and S_2 containing s . Set $s := \bar{X}(s)$. Then the following statements are equivalent:

- 1-1. $s \in S_1$;
- 1-2. there exists $g \in G_K / K$ such that $\bar{X} / \bar{X}(g)$ fixes s and exchanges S_1 and S_2 ;
- 1-3. $s \in S_1$.

Moreover, the following statements are equivalent:

- 2-1. $s \in S_2$;
- 2-2. the orbit of no element of P contains $\{s_1, s_2\}$;
- 2-3. $s \in S_2$.

These equivalences prove the lemma.

Definition 3.36. — We use the notation introduced in Definition 3.34. Take the quotient maps $q_P: P \rightarrow P$ and $q_S: S_2 \rightarrow S_2$ of the action \bar{X} / \bar{X} . The maps $q_V: V \rightarrow V, v \in \overline{O(v)}$ and $q_E: E_2 \rightarrow E, e \in \overline{O(e)}$ are the quotient maps of the action D . Moreover, both $v(\cdot)$ and $e(\cdot)$ are equivariant with respect to the actions induced by \bar{X} / \bar{X} and D . Thus, there exist unique maps $v(\cdot): P \rightarrow V$ and $e(\cdot): S_2 \rightarrow E$ such that the squares in the two diagrams

$$\begin{array}{ccc}
 P & \xrightarrow{v(\cdot)} & V \\
 \left| \begin{array}{c} q_P \\ \downarrow \\ q_V \end{array} \right. & & \left| \begin{array}{c} q_S \\ \downarrow \\ q_E \end{array} \right. \\
 P & \xrightarrow{v(\cdot)} & V
 \end{array}
 \qquad
 \begin{array}{ccc}
 S_2 & \xrightarrow{e_2(\cdot)} & E_2 \\
 \left| \begin{array}{c} q_S \\ \downarrow \\ q_E \end{array} \right. & & \left| \begin{array}{c} q_S \\ \downarrow \\ q_E \end{array} \right. \\
 S_2 & \xrightarrow{e(\cdot)} & E
 \end{array}$$

are commutative. Since $v(\cdot)$ and $e(\cdot)$ are bijective, the maps $v(\cdot)$ and $e(\cdot)$ are bijective.

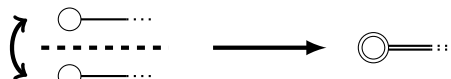
Theorem 3.37. — We use the notation introduced in Definitions 3.34 and 3.36. Then the graph D is the dual graph of \bar{X}/m with types and degrees by $v(\cdot)$ and $e(\cdot)$ (Definition 3.12).

Proof. — By Lemma 3.35.3, we may write $S_2 = \coprod_{a \in P^{(2)}} a$. Let us show that Statements 1–4 in Definition 3.12 hold for $v(\cdot)$ and $e(\cdot)$. Statement 1 follows from Lemma 3.25.1 and 3. Take $a \in P$. Set $v := v(a)$. The vertex v is of the second type if and only if there exists $e \in E_1$ such that $v \in \overline{V(e)}$. Thus, Statement 2 follows from the equality $\bar{X}^{-1}(S_1) = S_1$ (Lemma 3.35.1). Let us show Statement 3. Take $a \in P^{(2)}$ and $s \in a \subset S_2$. Since \bar{X} is of fundamental type, the equality $|q_S^{-1}(s)| = i(a, s)$ holds (Lemmas 3.20 and 3.25.1). Since $d(e(s)) = |q_E^{-1}(e(s))| = |q_S^{-1}(s)|$, Statement 3 holds. Let us show Statement 4. Take $a = \{s_1, s_2\} \subset P^{(2)}$. Set $A := \coprod_{a \in P_a} a, P_a := \{ \{s_1, s_2\} \subset P \mid q_P(\cdot) = \cdot \text{ for any } i \in \{1, 2\} \}$, and $A := \coprod_{a \in P_a} a$. Then $A = q_S(A), e_2(a) = [a]$ for any $a \in P_a$, and $\coprod_{a \in P_a} q_E([a]) = [a]$ (see Definitions 3.8 and 3.12 for $[\cdot]$). Thus, the equalities $e(A) = e(q_S(A)) = q_E(e_2(A)) = [a]$ hold, which proves Statement 4.

Example 3.38. — We give examples of parts of D and D in several cases. Take a part of D_0 of D . We write D_0 on the left-hand side, and its image in D on the right-hand side, where the multiplicities are omitted for simplicity. We denote the vertices of D_0 by O . Suppose that the following conditions are satisfied:

1. $E(O) \subseteq E_2$ in all cases except (h);
2. there exists $v_0 \in V$ such that $O = O(v_0)$.

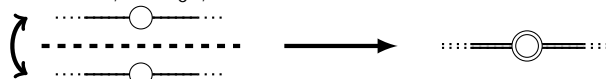
(a) $|O| = 2$, and $|E(v_0)| = 1$.



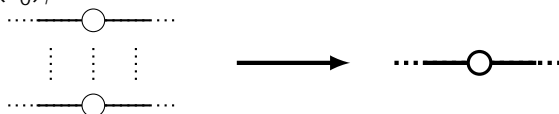
(b) $|E(v_0)| = 1$.



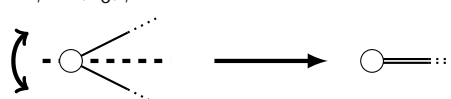
(c) $|O| = 2$, $|E(v_0)| = 2$, and $|\overline{E(v_0)}| = 2$.



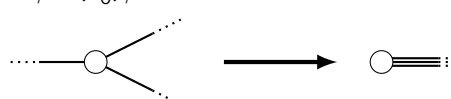
(d) $|E(v_0)| = 2$, and $|\overline{E(v_0)}| = 2$.



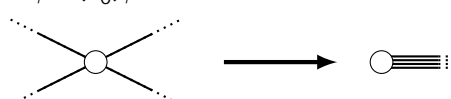
(e) $|O| = 1$, $|E(v_0)| = 2$, and $|\overline{E(v_0)}| = 1$.



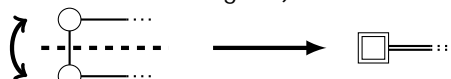
(f) $|O| = 1$, $|E(v_0)| = 3$, and $|\overline{E(v_0)}| = 1$.



(g) $|O| = 1$, $|E(v_0)| = 4$, and $|\overline{E(v_0)}| = 1$.



(h) $|E(v_0)| = 2$, $|\overline{E(v_0)}| = 1$, and there exists $e \in E$ such that $V(e) = O$ (in this case, the action fixes the center of the edge e).



3.8. Curves of Genus One and Dual Graphs. — We use the notation introduced in §3.3 and Example 3.32. Set $\overline{X} := f_X^{-1}(\overline{C})$. We denote the Kodaira symbol of \overline{X} by mT (see Remark 3.33), the symbol of the dual graph of \overline{X}/m by D , the dual graph of \overline{X}_{i_2}/m

by D , and the birational morphisms by $\sigma : X_{i_2} \rightarrow X$ and $\tau : X_{i_2} \rightarrow X$. Set $I_P := \{i \in \mathbb{Z} / 1 \leq i < N\}$, and $I_P := I_P \cup \{N\}$. We write $P = \{s_i\}_{i \in I_P}$ where $h^0(s_i) = h^0(s_{i+1})$ for any $i \in I_P$.

Assume that $T = I_1, II, III, \text{ or } IV$. Then $\overline{X} = m \times_P X$ (Lemma 3.25.1). We may write $S = \{s_i\}$ and $S = \{s_i\}$ (Lemma 3.22). For $i \in I_P$, we denote the restriction of σ to the strict transform of s_i by σ_i , and set $s_i := \sigma_i^{-1}(s)$. We denote the set of prime divisors on X_{i_2} contained in the exceptional locus of σ by P . Since the multiplicities of the elements of P in \overline{X} are different from each other, any element of P is stable under the action of $\overline{X} / \overline{X}$.

In the following, we introduce two symbols T and D to denote the type of \overline{X}/m and the dual graph D of \overline{X}/m with types and degrees (Definition 3.12), respectively (Tables 2–7; see Tables 8 and 9 for the changes from T and D to T and D , respectively). We use the following symbols for T ($n \in \mathbb{Z}_{>0}$, $r \in \mathbb{Z}_{>0}$, and $r \mid n$):

$$I_0, I_n^r (n \geq 1), I_{n,2}^r (n \geq 1), I_{n,2,2}^r (2r \mid n > 0), II, III, III_2, IV, IV_2, IV_3, \\ I_n, I_{n,2}, I_{n,2,2}, I_{n,2,3}, I_{0,3}, I_{n,4}, II, III, III_2, IV, IV_2, IV_3.$$

For each n , we set $I_n := I_n^1$, $I_{n,2} := I_{n,2}^1$, and $I_{n,2,2} := I_{n,2,2}^1$. The symbol D is an analogue to the symbol of a (twisted) affine Dynkin diagram. The original symbols are the followings:

$$A_u^{(1)} (u \geq 1), B_u^{(1)} (u \geq 3), C_u^{(1)} (u \geq 2), D_u^{(1)} (u \geq 4), E_u^{(1)} (6 \leq u \leq 8), F_4^{(1)}, G_2^{(1)}, \\ B_u^{(2)} (u \geq 2), C_u^{(2)} (u \geq 3), BC_u^{(2)} (u \geq 1), F_4^{(2)}, G_2^{(3)}.$$

We use the following symbols ($r \geq 1$):

$$A_{u,r}^{(1)} (u \geq 0), B_u^{(1)} (u \geq 3), C_{u,r}^{(1)} (u \geq 1), C_{u,r}^{[1]} (u \geq 0), C_{u,r}^{[1]} (u \geq 0), D_u^{(1)} (u \geq 4), \\ E_u^{(1)} (6 \leq u \leq 8), F_4^{(1)}, G_2^{(1)}, \\ B_u^{(2)} (u \geq 2), C_u^{(2)} (u \geq 2), C_u^{[2]} (u \geq 2), BC_u^{(2)} (u \geq 1), BC_u^{[2]} (u \geq 1), F_4^{(2)}, G_2^{(3)}.$$

For each u , we set $A_u^{(1)} := A_{u,1}^{(1)}$, $C_u^{(1)} := C_{u,1}^{(1)}$, $C_u^{[1]} := C_{u,1}^{[1]}$, and $C_u^{[1]} := C_{u,1}^{[1]}$. We determine D by Lemmas 3.22, 3.25, and 3.27.

Case 0: $T = I_0$. The equalities $N = 1$, $h^0(s_1) = 1$, and $S = \emptyset$ hold. We set $T := I_0$, and $D := A_0^{(1)}$.

Case 1: $T = I_1$. The equalities $N = 1$ and $h^0(s_1) = 1$ hold.

A. D is trivial. The equality $|s_1| = 2$ holds. We set $T := I_1$, and $D := A_0^{(1)}$.

B. otherwise. The equality $|s_1| = 1$ holds. We set $T := I_{1,2}$, and $D := C_0^{(1)}$.

Case 2: $T = II$. The equalities $N = 1$, $h^0(s_1) = 1$, and $|s_1| = 1$ hold. We set $T := II$, and $D := C_0^{(1)}$.

D	D	N	G	T
$A_0^{(1)}$	$\textcircled{1}$	1	1	I_0, I_1
$C_0^{(1)}$	$\boxed{1}$	1	Z_2	$I_{1,2}$
$C_1^{(1)}$	$\textcircled{1} \text{---} \textcircled{1}$	2	1	III
$C_{0,2}^{(1)}$	$\boxed{\textcircled{1}}$	1	Z_2	III ₂
$A_2^{(1)}$	$\begin{array}{c} \textcircled{1} \\ \diagdown \quad \diagup \\ \textcircled{1} \text{---} \textcircled{1} \end{array}$	3	1	IV
$C_1^{(1)}$	$\textcircled{1} \text{---} \boxed{1}$	2	Z_2	IV ₂
$C_{0,3}^{(1)}$	$\boxed{\boxed{1}}$	1	Z_3, S_3	IV ₃

Table 2. The dual graphs in Cases 0–4.

Case 3: $T = \text{III}$. The equality $N = 1$ or 2 holds. For any $i \in I_P$, the equality $|S_i| = 1$ holds.

A. $N = 2$. The equality $|S_1| \cdot |S_2| = 2$ holds. For any $i \in \{1, 2\}$, the equality $h^0(S_i) = 1$ holds. We set $T := \text{III}$, and $D := C_1^{(1)}$.

B. $N = 1$. The equality $h^0(S_1) = 2$ holds. We set $T := \text{III}_2$, and $D := C_{0,2}^{(1)}$.

Case 4: $T = \text{IV}$. The equality $N = 1, 2,$ or 3 holds. For any $i \in I_P$, the equality $|S_i| = 1$ holds.

A. $N = 3$. For any $\{i, j\} \in P^{(2)}$, the equality $|S_i \cap S_j| = 1$ holds. For any $i \in \{1, 2, 3\}$, the equality $h^0(S_i) = 1$ holds. We set $T := \text{IV}$, and $D := A_2^{(1)}$.

B. $N = 2$. The equality $|S_1| \cdot |S_2| = 2$ holds. For any $i \in \{1, 2\}$, the equality $h^0(S_i) = i$ holds. We set $T := \text{IV}_2$, and $D := C_1^{(1)}$.

C. $N = 1$. The equality $h^0(S_1) = 3$ hold. We set $T := \text{IV}_3$, and $D := C_{0,3}^{(1)}$.

In the other cases, the special fiber \overline{X} is of fundamental type. We study these cases by the method developed in §3.7.

Case 5: $T = I_n$ ($n \geq 2$). Since $\text{Aut } D = D_{2n}$, we have an isomorphism $G = Z_r$ or D_{2r} , where $r \mid n$. Set $u := n/r$.

A. $G = Z_r$. The equality $N = u$ holds. For any $\sigma \in P$, the equality $h^0(S_\sigma) = r$ holds. We set $T := I_n^r$, and $D := A_{u-1,r}^{(1)}$.

B. $G = D_{2r}$. We denote the subset of G consisting of rotations of the cycle D by H . Then H is a normal subgroup of G , and $H = Z_r$. Set $Y := X/H$, and $G := G/H$. Then the special fiber of Y is of type I_n^r , $G = Z_2$, G acts on Y , and $X = Y/G$. By M we denote the number of elements of $P(Y)$ that are fixed by the action of G . Then $M = 0, 1,$ or 2 . The equality $M = 1$ holds if and only if u is odd.


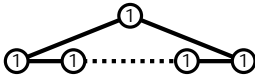





D	D	N	G	T
$A_{0,n}^{(1)}$ $u = 1$		1	Z_n	I_n^n
$A_{u-1,r}^{(1)}$ $u \geq 2$		u	Z_r	I_n^r
$C_{0,n}^{(1)}$ $u = 1$		1	D_{2n}	$I_{n,2}^n$
$C_{\frac{u}{2},r}^{(1)}$ $u: \text{even}$		$\frac{u}{2} + 1$	D_{2r}	$I_{n,2}^r$
$C_{\frac{u-1}{2},r}^{(1)}$ $u: \text{odd}$		$\frac{u+1}{2}$	D_{2r}	$I_{n,2}^r$
$C_{0,\frac{n}{2}}^{(1)}$ $u = 2$		1	D_n	$I_{\frac{n}{2},2,2}^{\frac{n}{2}}$
$C_{\frac{u}{2}-1,r}^{(1)}$ $u: \text{even}$		$\frac{u}{2}$	D_{2r}	$I_{n,2,2}^r$

Table 3. The dual graphs in Case 5. The inequality $n \geq 2$ holds (if $2 \nmid n$, then $C_{0,n}^{(1)} = C_{0,\frac{n}{2}}^{(1)}$). The thick circles, squares, and segments are of degree r . The double thick circles, squares, and segments are of degree $2r$.

- (a) $M > 0$. We set $T := I_{n,2}^r$. If $N = 1$, then we set $D := C_{0,n}^{[1]}$. If $N > 0$, and $M = 2$, then we set $D := C_{\frac{u}{2},r}^{(1)}$. Otherwise, we set $D := C_{\frac{u-1}{2},r}^{(1)}$.
- (b) $M = 0$. In this case, the reduction \bar{X}_{red} has two unibranch singularities. We set $T := I_{n,2,2}^r$. If $u = 2$, then we set $D := C_{0,\frac{n}{2}}^{[1]}$ or $C_{0,n}^{(1)}$. Otherwise, we set $D := C_{\frac{u}{2}-1,r}^{(1)}$.

Case 6: $T = I_{n-5}$ ($n \geq 5$).

- A. $n = 5$. Since $\text{Aut } D = S_4$, we have an isomorphism $G = 1, Z_2, Z_3, Z_4, Z_2^2, S_3, D_8, A_4$, or S_4 . If $N = 5$ (resp. $N = 4$, resp. $N = 3$, and $h^0(\mathcal{N}) = 2$, resp. $N = 3$, and $h^0(\mathcal{N}) = 3$, resp. $N = 2$), we set $T := I_0$ (resp. $I_{0,2}$, resp. $I_{0,2,2}$ or $I_{0,2,3}$, resp. $I_{0,3}$, resp. $I_{0,4}$), and $D := D_4^{(1)}$ (resp. $B_3^{(1)}$, resp. $B_2^{(2)}$ or $C_2^{(2)}$, resp. $G_2^{(1)}$, resp. $BC_1^{(2)}$).
- B. $n \geq 6$. Since $\text{Aut } D = D_8$, we have an isomorphism $G = 1, Z_2, Z_4, Z_2^2$, or D_8 .
 - (a) $N \geq n - 2$. If $N = n$ (resp. $N = n - 1$, resp. $N = n - 2$), then we set $T := I_{n-5}$ (resp. $I_{n-5,2}$, resp. $I_{n-5,2,2}$), and $D := D_{n-1}^{(1)}$ (resp. $B_{n-2}^{(1)}$, resp. $B_{n-3}^{(2)}$).

D	D	N	G	T
$D_{n-1}^{(1)}$		n	1	I_{n-5}
$B_{n-2}^{(1)}$		$n - 1$	Z_2	$I_{n-5,2}$
$B_2^{(2)}$ (= $C_2^{(2)}$) $n = 5$		3	Z_2, Z_2^2	$I_{0,2,2}$ (= $I_{0,2,3}$)
$B_{n-3}^{(2)}$ $n \geq 6$		$n - 2$	Z_2, Z_2^2	$I_{n-5,2,2}$
$C_{\frac{n-1}{2}}^{(2)}$ $n \geq 7$ n : odd		$\frac{n+1}{2}$	Z_2	$I_{n-5,2,3}$
$C_{\frac{n}{2}-1}^{(2)}$ n : even		$\frac{n}{2}$	Z_2	$I_{n-5,2,3}$
$G_2^{(1)}$ $n = 5$		3	Z_3, S_3	$I_{0,3}$
$BC_1^{(2)}$ $n = 5$		2	$Z_2^2, Z_4, D_8,$ A_4, S_4	$I_{0,4}$
$BC_{\frac{n-3}{2}}^{(2)}$ $n \geq 7$ n : odd		$\frac{n-1}{2}$	Z_2^2, Z_4, D_8	$I_{n-5,4}$
$BC_{\frac{n}{2}-2}^{(2)}$ n : even		$\frac{n}{2} - 1$	Z_2^2, Z_4, D_8	$I_{n-5,4}$

Table 4. The dual graphs in Case 6. The inequality $n \geq 5$ holds.

- (b) $n/2 \leq N \leq n-3$. We set $T := I_{n-5,2,3}$. If n is odd, then we set $D := C_{\frac{n-1}{2}}^{(2)}$. Otherwise, we set $D := C_{\frac{n}{2}-1}^{(2)}$.
- (c) otherwise. We set $T := I_{n-5,4}$. If n is odd, then we set $D := BC_{\frac{n-3}{2}}^{(2)}$. Otherwise, we set $D := BC_{\frac{n}{2}-2}^{(2)}$.

Case 7: $T = IV$. Since $\text{Aut } D = S_3$, we have an isomorphism $G = 1, Z_2, Z_3$, or S_3 . If $G = 1$, then we set $T := IV$, and $D := E_6^{(1)}$. If $G = Z_2$, then we set $T := IV_2$, and $D := F_4^{(1)}$. Otherwise, we set $T := IV_3$, and $D := G_2^{(3)}$.

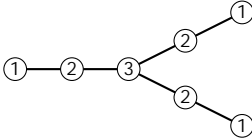
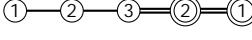

D	D	N	G	T
$E_6^{(1)}$		7	1	IV
$F_4^{(1)}$		5	Z_2	IV_2
$G_2^{(3)}$		3	Z_3, S_3	IV_3

Table 5. The dual graphs in Case 7.

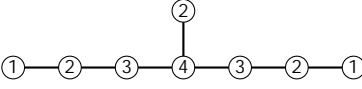
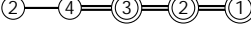
D	D	N	G	T
$E_7^{(1)}$		8	1	III
$F_4^{(2)}$		5	Z_2	III_2

Table 6. The dual graphs in Case 8.

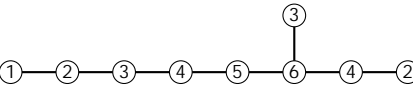
D	D	N	G	T
$E_8^{(1)}$		9	1	II

Table 7. The dual graph in Case 9.

T	I_0	$I_n (n \geq 1)$	II	III	IV	
T	I_0	$I_n^r, I_{n,2}^r, I_{n,2,2}^r$	II	III, III ₂	IV, IV ₂ , IV ₃	
T	$I_n (n \geq 0)$			II	III	IV
T	$I_n, I_{n,2}, I_{n,2,2}, I_{n,2,3}, I_{0,3} (n = 0), I_{n,4}$			II	III, III ₂	IV, IV ₂ , IV ₃

Table 8. The changes from T to T . The relation r/n holds.

Case 8: $T = III$. Since $\text{Aut } D = Z_2$, we have an isomorphism $G = 1$ or Z_2 . If $G = 1$, then we set $T := III$, and $D := E_7^{(1)}$. Otherwise, we set $T := III_2$, and $D := F_4^{(2)}$.

Case 9: $T = II$. Since $\text{Aut } D = 1$, we have an isomorphism $G = 1$. We set $T := II$, and $D := E_8^{(1)}$.

D	A_0	$A_{n-1} (n \geq 2)$			
D	$A_0^{(1)}, C_0^{(1)}$	$A_{\frac{n}{r}-1, r}^{(1)}$	$C_{\frac{n}{2r}, r}^{(1)}$	$C_{\frac{n-r}{2r}, r}^{(1)}$	$C_{\frac{n}{2r}-1, r}^{(1)}$
D	$D_{n-1} (n \geq 5)$				
D	$D_{n-1}^{(1)}, B_{n-2}^{(1)}, G_2^{(1)}$	$(n = 5), B_{n-3}^{(2)}$	$C_{\frac{n-1}{2}}^{(2)}$	$C_{\frac{n}{2}-1}^{(2)}$	$BC_{\frac{n-3}{2}}^{(2)}, BC_{\frac{n}{2}-2}^{(2)}$
D	E_6		E_7	E_8	
D	$E_6^{(1)}, F_4^{(1)}, G_2^{(3)}$	$E_7^{(1)}, F_4^{(2)}$		$E_8^{(1)}$	

Table 9. The changes from D to D . The relation $r \mid n$ holds.

Lemma 3.39. — *Let k be a perfect field, and Z be a k -scheme. Then the following statements hold:*

1. Z is reduced if and only if Z is geometrically reduced over k ;
2. assume that Z is locally of finite type; then Z is regular if and only if Z is smooth over k .

Proof. — Statements 1 and 2 follow from [7, 4.6.11] and [8, 17.15.2], respectively.

In the above classification, we obtain the following (Lemmas 3.22, 3.24, and 3.39).

Proposition 3.40. — *Take P and the normalization \tilde{P} of P . Then the following statements hold.*

1. If $T = \mathbb{A}_0^n$, then $P = \{ \text{pt} \}$, and \tilde{P} is a proper smooth geometrically integral $H^0(\mathbb{A}_0^n, \mathbb{Z})$ -curve of genus one. Otherwise, the normalization of P is a proper smooth geometrically integral $H^0(\mathbb{A}_0^n, \mathbb{Z})$ -curve of genus zero.
2. If $T = \mathbb{A}_n^n (n \geq 1)$, then $P = \{ \text{pt} \}$, $|\text{sing}| = 1$, and $|\text{sing}^{-1}(\text{pt})| = 2$ (sing consists of one non-unibranch singularity). If $T = \mathbb{A}_{n,2,2}^n (2 \mid n > 0)$, then $P = \{ \text{pt} \}$, $|\text{sing}| = 2$, and $|\text{sing}^{-1}(\text{pt})| = 2$ (sing consists of two unibranch singularities). Otherwise, if $\text{sing} = \emptyset$, then $|\text{sing}| = |\text{sing}^{-1}(\text{pt})| = 1$ (sing consists of one unibranch singularity).

4. Separable Closed Points

4.1. Special Fibers, Indices, and Separable Closed Points. — Take a separable closure K^{sep} of K . For a field extension K'/K in K^{sep} , we set $G_{K'} := G_{K^{\text{sep}}/K}$. Let E_K be a K -elliptic curve.

Definition 4.1. — Take a proper regular C -model $f_E: E \rightarrow C$ of E_K . The K -elliptic curve E_K is said to *have good reduction* if E is smooth over C . The K -elliptic curve E_K is said to *have toric reduction* if the identity component of the special fiber of the Néron model of E_K is a \overline{C} -torus. The K -elliptic curve E_K is said to *have potentially good* (resp. *potentially toric reduction*) if there exists a finite separable field extension K'/K such that the K' -elliptic curve $E_K \times_K K'$ has good (resp. toric reduction).

Proof of Theorem 1.1. — Take a minimal proper regular C -model $f_X: X \rightarrow C$ of X_K . Set $\bar{X} := f_X^{-1}(\bar{C})$, and $l := l(X_K)$. We may take a divisor D on X_K of degree l . The Riemann–Roch theorem gives the equality

$$\dim_K H^0(X_K, \mathcal{O}_{X_K}(D)) = l$$

[12, 7.3.33]. Thus, we may take an effective divisor $\sum_{y \in S} a_y [y]$ of degree l , where S is a finite set of closed points on X_K , $a_y \in \mathbb{Z}_{>0}$ for any $y \in S$, and $[y]$ is the prime divisor with support y . Then $\sum_{y \in S} a_y [k(y) : K] = l$, and $l \equiv \sum_{y \in S} [k(y) : K] \pmod{p}$ for any $y \in S$. Thus, we may write $S = \{z\}$, and the equalities $a_z = 1$ and $[k(z) : K] = l$ hold. We have to show that there exists a separable closed point x on X_K such that $[k(x) : K] = l$. If \bar{K} is infinite, then it follows from [5, 8.4(2) and (3)].

Assume that \bar{K} is finite. We use the notation $H^0(\cdot)$, $h^0(\cdot)$, $R(X)$, $P(X)$, and $n(\cdot)$ introduced in §2 and Definition 3.4. Take $P(X)$, and the normalization $\bar{X} := \bar{X}_{\text{red}}$ of X . We denote the index of \bar{X}_{reg} by $l(\bar{X}_{\text{reg}})$ (see §1). Since $H^0(\bar{X}) \cong k(x)$ for any closed point x on \bar{X} , the relation $h^0(\bar{X}) \mid l(\bar{X}_{\text{reg}})$ holds. For any finite field k and any proper smooth geometrically integral k -curve C_k of genus zero (resp. of genus one), the inequality $|C_k(k)| \geq 3$ (resp. $|C_k(k)| \geq 1$) holds since C_k is k -isomorphic to \mathbb{P}_k^1 (resp. a k -elliptic curve) ([17, II.3.3(a)] and Example 1.5.2). Thus, Proposition 3.40 shows that $l(\bar{X}_{\text{reg}}) \mid h^0(\bar{X})$, which implies that $l(\bar{X}_{\text{reg}}) \mid h^0(\bar{X})$. Therefore, the equality $l(\bar{X}_{\text{reg}}) = h^0(\bar{X})$ holds. Since $l = \gcd_{P(X)} \{n(\cdot) \cdot l(\bar{X}_{\text{reg}})\}$ [5, 8.2(b)], the equality

$$l = \gcd_{P(X)} \{n(\cdot) \cdot h^0(\cdot)\}$$

holds. Thus, by the classification of the special fibers in §3.8, there exists $\bar{X} \in P(X)$ such that $n(\bar{X}) \cdot h^0(\bar{X}) = l$, and $(R(X))(\bar{X}) = h^0(\bar{X})$ (Proposition 3.40). Therefore, the theorem follows from [5, 8.4(3)].

Remark 4.2. — In the above proof, the result in §3.8 is applied in the case where \bar{K} is finite.

Example 4.3. — The following statement holds [18, Thm. 2]: for any global field K , any K -elliptic curve E_K , and any $P \in \mathbb{Z}_{>0}$, there exists $\bar{X} \in H^1(K, E_K)$ such that $P(\bar{X}) = P$, and $l(X_K) = P(\bar{X})^2$, where X_K is the K -torsor under E_K corresponding to \bar{X} . In particular, for any closed point x on X_K , the relation $P(\bar{X})^2 \mid [k(x) : K]$ holds.

4.2. Case of Good Reduction. —

Theorem 4.4. — *Suppose that \bar{K} is perfect and WC -trivial for elliptic curves. Assume that E_K has good reduction. Take $\bar{X} \in H^1(K, E_K)$. Then there exists a separable field extension L/K of degree $P(\bar{X})$ such that $l_L = 0$.*

Proof. — By the induction on $P(\bar{X})$, we may assume that $P(\bar{X})$ is a prime number. Take the K -torsor X_K under E_K corresponding to \bar{X} , a minimal proper regular C -model $f_X: X \rightarrow C$ of X_K , and the completion \mathcal{O} of a strict Henselization of \mathcal{O}_K (Example 3.17.A). We denote the field of fractions of \mathcal{O} by K . Set $C := \text{Spec } \mathcal{O}$, $E := E \times_C C$, $X := X \times_C C$, and $m := P(\bar{X}/K)$. Then E and X are minimal proper regular C -models of their generic fibers (Proposition 3.30). Since E is smooth over C , the Kodaira symbol of the special fiber of X is equal to $m I_0$ (Remark 3.10.1). Since \bar{K} is perfect, the \bar{K} -scheme \bar{X}_{red} is a

\bar{K} -torsor under a \bar{K} -elliptic curve \bar{E}_0 [13, 8.1–8.2]. Moreover, the equality $m(\bar{X}) = m$ holds (Lemma 3.25.1; see Definition 3.4 for $m(\bar{X})$). Since \bar{K} is WC-trivial for elliptic curves, there exists a \bar{C} -isomorphism $\bar{X}_{\text{red}} = \bar{E}_0$. Thus, there exists a separable field extension L/K of degree m such that $X_K(L) = \emptyset$ [5, 8.4(3)], which gives the equality $\chi(L) = 0$. Since $P(\chi) = 1$, the inequality $m = 1$ holds. Since $P(\chi)$ is a prime number, and $m = P(\chi_K) / P(\chi)$, the equality $m = P(\chi)$ holds, which concludes the proof.

4.3. Case of Toric Reduction. — In this subsection, we use the rigid analytic uniformization of E_K . Assume that E_K has potentially toric reduction. For a K -scheme Z_K locally of finite type, we denote the analytification of Z_K by Z_K^{an} . Take the uniformization $u_K : T_K^{\text{an}} / E_K^{\text{an}} = T_K^{\text{an}} / \Gamma_K^{\text{an}}$ of E_K , where T_K is a K -torus, and Γ_K is a K -lattice of T_K . The K -lattice Γ_K is associated with a G_K -module \mathbb{Z} whose underlying group is isomorphic to \mathbb{Z} . Let K'/K be a field extension in K^{sep} . For a module M , we denote the $G_{K'}$ -module associated with M with trivial action of $G_{K'}$ by $M_{K'}$. The K -torus T_K (resp. the K -lattice Γ_K) is said to *split over K'* if $T_K \times_K K' = G_{m,K'}$ as K' -group schemes (resp. $\mathbb{Z} = \mathbb{Z}_{K'}$ as $G_{K'}$ -modules).

We denote the group of K^{sep} -automorphisms of the K^{sep} -group scheme $G_{m,K^{\text{sep}}}$ by $\text{Aut}_{K^{\text{sep}}} G_{m,K^{\text{sep}}}$. Then $\text{Aut}_{K^{\text{sep}}} G_{m,K^{\text{sep}}} = \mathbb{Z}_2$. Choose an isomorphism $T_K : T_K \times_K K^{\text{sep}} = G_{m,K^{\text{sep}}}$ between K^{sep} -group schemes. The action of G_K on K^{sep} induces K -actions T_K and $\Gamma_{m,K}$ of G_K on $T_K \times_K K^{\text{sep}}$ and $G_{m,K^{\text{sep}}}$, respectively. We define a K^{sep} -action $T_K : G_K \rightarrow \text{Aut}_{K^{\text{sep}}} G_{m,K^{\text{sep}}}$ of G_K on $G_{m,K^{\text{sep}}}$ by $T_K(g) := T_K \circ T_K(g) \circ T_K^{-1} \circ \Gamma_{m,K}^{-1}(g)$. Take the field extension M/K corresponding to $\text{Ker } T_K$. Then $G_{M/K} = 1$ or \mathbb{Z}_2 , and M is minimum among the field extensions of K in K^{sep} over which T_K splits.

Take a Galois extension M'/K in K^{sep} so that $M' = M$. Fix an isomorphism $T_{M'} = G_{m,M'}$ between M' -group schemes, which induces an isomorphism $T_K(M') = (M')^\times$ between groups. For $g \in G_{M'/K}$, we denote the image of $a \in (M')^\times$ (resp. $a \in T_K(M')$) under g by ga (resp. $g \cdot a$), and set

$$e(g) := \begin{cases} 1 & \text{if the image of } g \text{ in } G_{M'/K} \text{ is equal to the identity,} \\ -1 & \text{otherwise.} \end{cases}$$

Then $g \cdot a = ga^{e(g)}$ for any $g \in G_{M'/K}$ and any $a \in T_K(M') = (M')^\times$. Take a generator q of \mathbb{Z} . Note that the valuation of q is not equal to zero.

Lemma 4.5. — *The relation $q \in K^\times$ holds. In particular, the lattice \mathbb{Z} splits over M .*

Proof. — Set $M := K^{\text{sep}}$. Take $g \in G_K$. Since $g \cdot \mathbb{Z} = \mathbb{Z}$, we may take $e_g \in \mathbb{Z}$ so that $g \cdot q = q^{e_g}$. Since $g \cdot q = gq^{e(g)}$, the equality $q^{e_g} = gq^{e(g)}$ holds. Taking the valuations of both sides, we obtain the equality $e_g = e(g)$. Thus, the equality $gq = q$ holds, which concludes the proof.

The exact sequence of G_K -modules

$$0 \longrightarrow \mathbb{Z} \longrightarrow T_K(K^{\text{sep}}) \longrightarrow E_K(K^{\text{sep}}) \longrightarrow 0$$

induces a long exact sequence of abelian groups

$$H^1(K, \mathbb{Z}) \longrightarrow H^1(K, T_K) \xrightarrow{u_K} H^1(K, E_K) \xrightarrow{\kappa} H^2(K, \mathbb{Z}) \longrightarrow H^2(K, T_K).$$

Set $\mathcal{O} := \sum_{z \in Z_K} \mathcal{O}_K$, and $\mathcal{O}/Z := \sum_{z \in Z_K} (\mathcal{O}/Z)_K$. Since \mathcal{O} is divisible, the equality $H^i(K, \mathcal{O}) = 0$ holds for any $i \geq 0$. Thus, the exact sequence of G_K -modules

$$0 \longrightarrow \mathcal{O} \longrightarrow \mathcal{O} \longrightarrow \mathcal{O}/Z \longrightarrow 0$$

induces an isomorphism

$$i_K^i: H^i(K, \mathcal{O}/Z) \xrightarrow{\cong} H^{i+1}(K, \mathcal{O})$$

for any $i \geq 0$. We denote the image of $g \in G_K$ in $G_{M/K}$ by \bar{g} . Since the action of G_M on \mathcal{O}/Z is trivial (Lemma 4.5), we have a canonical isomorphism

$$H^1(M, \mathcal{O}/Z) = \text{Hom}(G_M, \mathcal{O}/Z).$$

Thus, the restriction morphism

$$H^1(K, \mathcal{O}/Z) \longrightarrow H^1(M, \mathcal{O}/Z)^{G_{M/K}}$$

induces a homomorphism

$$\bar{\cdot}: H^1(K, \mathcal{O}/Z) \longrightarrow \text{Hom}(G_M, \mathcal{O}/Z)^{G_{M/K}},$$

where $(\bar{g} \cdot)(h) = g(g^{-1}hg)$ for any $g \in G_K$, any $h \in G_M$, and any $\cdot \in \text{Hom}(G_M, \mathcal{O}/Z)$ [17, 1.2.6].

Take $H^1(K, E_K)$. Put $\mathfrak{k} := (\text{Gal}(L/K))^{-1} \cdot \mathfrak{k}$, and $\mathfrak{k} := \text{Ker } \bar{\cdot}$. Since $\bar{g} \cdot = \cdot$ for any $g \in G_K$, the equality $g^{-1}\mathfrak{k}g = \mathfrak{k}$ holds for any $g \in G_K$. Thus, the subgroup \mathfrak{k} of G_M is normal, which implies that the field extension L/K corresponding to \mathfrak{k} is Galois. Therefore, we may take L as M introduced above. We denote the order of $\bar{\cdot}$ in $\text{Hom}(G_M, \mathcal{O}/Z)$ by $P(\cdot)$.

Lemma 4.6. — *The field M is a cyclic extension of K of degree $P(\cdot)$.*

Proof. — The lemma follows from the isomorphisms $G_M/\mathfrak{k} = \text{Im } \bar{\cdot} = Z_{P(\cdot)}$.

Proposition 4.7. — *The following statements hold:*

1. $G_M/M = Z_{P(\cdot)}$, and $G_{M/K} = 1$ or Z_2 ;
2. if $P(\cdot) = 2$, and $G_{M/K} = Z_2$, then $G_{M/K} = Z_4$ or Z_2^2 ;
3. $\bar{\cdot}_M = 0$.

Proof. — Statement 1 follows from Lemma 4.6. Statement 2 follows from Statement 1. Since T_K splits over M , the equality $H^1(M, T_K) = 0$ holds, which implies that $\bar{\cdot}_M$ is injective. The equality $\bar{\cdot}_M = 0$ gives the equality $\bar{\cdot}_M(\bar{\cdot}_M) = 0$. Thus, Statement 3 holds.

Proposition 4.8. — *Assume that $2 \nmid P(\cdot)$. Then there exists a field extension of K in M of degree $P(\cdot)$. Moreover, for any field extension K'/K in M of degree $P(\cdot)$, the equality $\bar{\cdot}_{K'} = 0$ holds.*

Proof. — The first statement follows from Proposition 4.7.1 and Sylow’s theorem. The composite of the restriction homomorphism and the corestriction homomorphism

$$H^1(K, E_K) \xrightarrow{\text{res}} H^1(M, E_K) \xrightarrow{\text{cor}} H^1(K, E_K)$$

is equal to the multiplication by $[M : K]$ [17, I.2.4]. Thus, the last statement follows from the facts $[M : K] = [M : K] / 2$ and $\text{res}_M = 0$ (Proposition 4.7.1 and 3).

Since T_K splits over M , we have a $G_{M/K}$ -equivariant isomorphism $E_K(M) = T_K(M) / Z$. We denote the image of $a \in T_K(M)$ in $E_K(M)$ by \bar{a} . The element \bar{a} may be represented by $\{\bar{a}g\}_g \in Z^1(G_{M/K}, E_K(M))$.

Proposition 4.9. — Assume that $G_{M/K} = Z_2$, and $G_{M/K} = Z_2$ or Z_4 . Then $\text{res}_M = 0$.

Proof. — Take a generator g of $G_{M/K}$. We may assume that $M = M$, and take $e \in Z$ so that

$$a \cdot a^{-1} \cdot {}^2a \cdot {}^3a^{-1} = q^e.$$

Taking the valuations of both sides, we obtain the equality $e = 0$, which implies that

$$a \cdot a^{-1} \cdot {}^2a \cdot {}^3a^{-1} = 1.$$

Thus, we may take $\bar{a} \in H^1(K, T_K)$ so that $\bar{a} = u_K(\cdot)$. Since T_K splits over M , the equality $H^1(M, T_K) = 0$ holds, which implies that $\text{res}_M = 0$. Therefore, the equality $\text{res}_M = 0$ holds.

Lemma 4.10. — The trace map $\text{Tr}_{k/K} : k \rightarrow K$ is surjective for any Galois extension k/K of degree 2.

Proof. — Take $a \in k$. We denote the characteristic of k by p_k . If $p_k = 2$, then $\text{Tr}_{k/K}(a/2) = a$. Assume that $p_k = 2$. Take the generator g of $G_{k/K}$. We may take $b \in k$ so that $b = b + 1$. Then $\text{Tr}_{k/K}(ab) = a$, which concludes the proof.

Lemma 4.11. — Let F be a finite Galois extension of K of degree d . We denote the valuation ring of F by O_F , the residue field of O_F by \bar{F} , and the norm map by $N_{F/K} : F \rightarrow K$. Assume that \bar{F}/\bar{K} is a Galois extension of degree d , and that both norm map and trace map of \bar{F}/\bar{K} are surjective. Then $N_{F/K}(O_F^\times) = O_K^\times$. Moreover, the group $K^\times / N_{F/K}(F^\times)$ is isomorphic to Z_d , and generated by the image of a uniformizer of O_K .

Proof. — We denote the maximal ideal of O_K and O_F by \mathfrak{m}_K and \mathfrak{m}_F , respectively. Let us consider the diagram of abelian groups with commutative squares and horizontal exact sequences

$$\begin{CD} 1 @>>> 1 + \mathfrak{m}_F @>>> O_F^\times @>>> \bar{F}^\times @>>> 1 \\ @. @VVV @VVV @VVV \\ 1 @>>> 1 + \mathfrak{m}_K @>>> O_K^\times @>>> \bar{K}^\times @>>> 1 \end{CD}$$

where the vertical arrows are the norm maps. We denote the norm map and the trace map of \bar{F}/\bar{K} by $N_{\bar{F}/\bar{K}}$ and $\text{Tr}_{\bar{F}/\bar{K}}$, respectively. Take a uniformizer π of O_K . Then π is a uniformizer of O_F since $[F : K] = d = [\bar{F} : \bar{K}]$. For $i \in \mathbb{Z}_{>0}$, the isomorphism $O_K / \mathfrak{m}_K^i \xrightarrow{\sim} O_F / \mathfrak{m}_F^i$ induces an isomorphism $\bar{K} / \mathfrak{m}_K^i / \mathfrak{m}_K^{i+1} \xrightarrow{\sim} \bar{F} / \mathfrak{m}_F^i / \mathfrak{m}_F^{i+1}$. For any $a \in O_F$ and any $i \in \mathbb{Z}_{>0}$, the equality

$$N_{F/K}(1 + a \pi^i) \equiv 1 + i(\text{Tr}_{\bar{F}/\bar{K}} \bar{a}) \pmod{\mathfrak{m}_K^{i+1}}$$

holds, where \bar{a} is the image of a in \bar{F} . Thus, since $\text{Tr}_{\bar{F}/\bar{K}}$ is surjective, the left vertical arrow is surjective. Since $N_{\bar{F}/\bar{K}}$ is surjective, the right vertical arrow is surjective. Therefore, the middle vertical arrow is surjective.

Let us consider the diagram of abelian groups with commutative squares and horizontal exact sequences

$$\begin{array}{ccccccc} 1 & \longrightarrow & /O_F^\times & \longrightarrow & /F^\times & \longrightarrow & /Z & \longrightarrow & /1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & /O_K^\times & \longrightarrow & /K^\times & \longrightarrow & /Z & \longrightarrow & /1 \end{array}$$

where the left and middle vertical arrows are the norm maps, the right vertical arrow is the multiplication by d , and the third horizontal arrows are the valuations. Since the left vertical arrow is surjective, the cokernel of the middle arrow is isomorphic to that of the right vertical arrow, which concludes the proof.

Proposition 4.12. — Assume that the following conditions are satisfied:

1. E_K has toric reduction;
2. \bar{K} is perfect;
3. there does not exist a Galois extension of \bar{K} with Galois group Z_2^2 ;
4. the norm map of \bar{F}/\bar{K} is surjective for any Galois extension \bar{F}/\bar{K} of degree 2;
5. $G_{M/K} = Z_2^2$;
6. $P(\) = 2$.

Then there exists a field extension K/K in M of degree 2 such that $v_K = 0$.

Proof. — Condition 5 implies that $G_{M/M} = Z_2$, and $G_{M/K} = Z_2$ (Proposition 4.7.1). Moreover, we may write $G_{M/K} = \{e, \sigma_1, \sigma_2, \sigma_3\}$, where e is the identity, and σ_3 is the generator of $G_{M/M}$. For $i \in \{1, 2, 3\}$, we set $a_i := a_{\sigma_i}$, denote the subgroup generated by σ_i by G_i , and denote the fixed subfield of G_i by K_i . Then $K_3 = M$. For any $a \in T_K(M)$, the equalities $\sigma_1 \cdot a = \sigma_1 a^{-1}$, $\sigma_2 \cdot a = \sigma_2 a^{-1}$, and $\sigma_3 \cdot a = \sigma_3 a$ hold.

Take $i \in \{1, 2\}$. We may take $e_i \in Z$ so that

$$a_i \cdot \sigma_i a_i^{-1} = q^{e_i}.$$

Taking the valuations of both sides, we obtain the equality $e_i = 0$, which implies that $a_i \in K_i^\times$. We denote the norm map by $N_i: (M)^\times \rightarrow K_i^\times$, and the image of K_i^\times and $\text{Im } N_i$ in $E_K(M)$ by Z_i and B_i , respectively. Set $H_i := Z_i/B_i$. Since the homomorphisms

$$Z^1(G_i, E_K(M)) \longrightarrow /Z_i, \quad (\bar{b}_g)_{g \in G_i} \longrightarrow /b_i$$

and

$$B^1(G_i, E_K(M)) \longrightarrow /B_i, \quad (\bar{b}_g)_{g \in G_i} \longrightarrow /b_i$$

are bijective, the homomorphism

$$H^1(G_i, E_K(M)) \longrightarrow /H_i, \quad (\bar{b}_g)_{g \in G_i} \longrightarrow /b_i$$

is bijective, where b_i is the image of $\overline{b_i}$ in H_i .

Since

$$a_1 \cdot {}_1a_2^{-1} = a_3 = a_2 \cdot {}_2a_1^{-1} \pmod{Z},$$

the equalities

$$a_1 \cdot {}_2a_1 = a_2 \cdot {}_1a_2 \pmod{Z}$$

and

$$a_3^2 = a_1 \cdot {}_2a_1^{-1} \cdot a_2 \cdot {}_1a_2^{-1} = a_1 \cdot {}_3a_1^{-1} \cdot a_2 \cdot {}_3a_2^{-1} \pmod{Z}$$

hold. For $i \in \{1, 2\}$, we set $b_i := a_i^2 \cdot (a_1 \cdot {}_i a_1)^{-1}$. We set $b_e := 1$, $b_3 := a_3^2 \cdot (a_1 \cdot {}_3 a_1^{-1})^{-1}$, and $a := a_2$. Then $b_1 = 1$, $b_2 = a \cdot {}_1 a^{-1}$, $b_3 = a \cdot {}_3 a^{-1}$, and the cohomology class of $(\overline{b_g})_g \in G_{M/K}$ is equal to 2. By Condition 6, we may take $b \in M$ so that $b \cdot {}_1 b = 1$, $b \cdot {}_2 b = a \cdot {}_1 a^{-1}$, and $b \cdot {}_3 b^{-1} = a \cdot {}_3 a^{-1}$. The last equality implies that we may take $e_3 \in Z$ so that

$$a \cdot b^{-1} = {}_3(a \cdot b^{-1}) \cdot q^{e_3}.$$

Taking the valuations of both sides, we obtain the equality $e_3 = 0$. Thus, we may take $c \in M$ so that $a = bc$. Since $N_1 b = 1$, the equality $N_1 a = N_1 c$ holds.

We may assume that $v_{K_i} = 0$ for any $i \in \{1, 2\}$. Then $\overline{a_i} \in B_i$ for any $i \in \{1, 2\}$, which implies that $a_i \in \text{Im } N_i$ for any $i \in \{1, 2\}$. By $v: (M)^\times \rightarrow Z$ we denote the valuation of M with $\text{Im } v = Z$. Condition 1 implies that M is unramified over K , which implies that M is unramified over K_i for any $i \in \{1, 2\}$. Thus, Condition 4 implies that $\text{Im } N_i = v^{-1}(2Z) \subset K_i^\times$ (Lemmas 4.10 and 4.11), which implies that $v(a_i) \in 1 + 2Z$, and $v(N_1 a) \in 2 + 4Z$. Conditions 2 and 3 imply that $v(K^\times) = 2v(K_i^\times)$, which implies that $v(M^\times) = 2v((M)^\times) = 2Z$ and $v(N_1 M^\times) = 4Z$. Since $N_1 a = N_1 c$, $v(q) \in 2Z$, $v(N_1 a) \in 2 + 4Z$, and $v(N_1 c) \in 4Z$, we conclude that $v(q) \in 2 + 4Z$. The equality $a_1 \cdot {}_2 a_1 = a_2 \cdot {}_1 a_2$ shows that $2(v(a_1) - v(a_2)) \in v(q)Z$. Since $v(a_1) - v(a_2) \in 2Z$, we conclude that $v(a_1) - v(a_2) \in v(q)Z$. Thus, the equality $a_3 = a_1 \cdot {}_1 a_2^{-1}$ implies that $v(a_3) \in v(q)Z$.

Since $\text{Im } N_1 = v^{-1}(2Z) \subset K_1^\times$, we may assume that $v(a_1) = 1$. Since $a_e = 1$, $v(a_1) - v(a_2) \in v(q)Z$, and $v(a_3) \in v(q)Z$, we may assume that $a_e = 1$, $v(a_2) = 1$, and $v(a_3) = 0$. For any $g \in G_{M/K}$ and any $h \in G_{M/K}$, we may take $e_{g,h} \in Z$ so that

$$a_{gh} = a_g \cdot (g \cdot a_h) \cdot q^{e_{g,h}}.$$

Since $v(a_{gh}) = v(a_g) + v(g \cdot a_h)$, the equality $e_{g,h} = 0$ holds, which implies that $a_{gh} = a_g \cdot (g \cdot a_h)$. Thus, we may take $H^1(K, T_K) = 0$ so that $\text{res} = u_K(\text{res})$. Since $H^1(M, T_K) = 0$, the equality $\text{res}_M = 0$ holds. Therefore, the equality $\text{res}_M = 0$ holds, which concludes the proof.

Example 4.13. — The conclusion of Proposition 4.12 does not hold without Condition 3. Note that Conditions 1–4 are used only in the last two paragraphs of the above proof. Assume that there exists a finite Galois extension $\overline{F}/\overline{K}$ with $G_{\overline{F}/\overline{K}} = Z_2^2$. Then we may take an unramified Galois extension M/K with $G_{M/K} = Z_2^2$. We may write $G_{M/K} = \{e, \sigma_1, \sigma_2, \sigma_3\}$, where e is the identity. For $i \in \{1, 2, 3\}$, we denote the subgroup generated by σ_i by G_i , and the fixed subfield of G_i by K_i . Take a uniformizer π of O_K . Set $M := K_3$, and $q := \pi^4$. We may take a K -torus T_K and a K -elliptic curve E_K that satisfy the following conditions: T_K does not split over K , T_K splits over M , and $E_K^{\text{an}} = T_K^{\text{an}} / \pi^{\text{an}}$, where π^{an} is the lattice of T_K induced by $\{q^i \mid i \in Z\}$ ($(K^{\text{sep}})^\times = T_K(K^{\text{sep}})$). Then E_K has toric reduction since M is unramified over K . Set $a_e := 1$, $a_{\sigma_1} := \pi$, $a_{\sigma_2} := \pi^3$, $a_{\sigma_3} := \pi^2$, and $c := \{\overline{a_g}\}_g \in G_{M/K}$. Then

$c \in Z^1(G_{M/K}, E_K(M))$. We define $\alpha \in H^1(K, E_K)$ as the cohomology class represented by c . By the above proof, we conclude that $P(\alpha) = 2$, and $\alpha|_{K_i} = 0$ for any $i \in \{1, 2, 3\}$.

Theorem 4.14. — Assume that the following conditions are satisfied:

1. E_K has toric reduction;
2. \bar{K} is perfect;
3. for any finite field extension \bar{K}'/\bar{K} , there does not exist a Galois extension of \bar{K}' with Galois group Z_2^2 ;
4. for any finite field extension \bar{K}'/\bar{K} and any Galois extension \bar{F}'/\bar{K}' of degree 2, the norm map of \bar{F}'/\bar{K}' is surjective.

Take $\alpha \in H^1(K, E_K)$. Then there exists a separable field extension L/K of degree $P(\alpha)$ such that $\alpha|_L = 0$.

Proof. — By the induction on $P(\alpha)$, we may assume that $P(\alpha)$ is a prime number. If $P(\alpha) = 2$, then Proposition 4.8 concludes the proof. Otherwise, by Proposition 4.7, we may assume that $G_{M/K} = Z_2$, and $G_{M'/K} = Z_4$ or Z_2^2 . Thus, Propositions 4.9 and 4.12 conclude the proof.

4.4. Periods and Separable Closed Points. —

Definition 4.15. — A field k is said to be of dimension ≥ 1 if one of the following equivalent conditions is satisfied [17, II.3.1, Prop. 5]:

1. for any finite separable field extension L/k , the Brauer group of L is trivial;
2. for any finite separable field extension L/k , the norm map of any finite Galois extension of L is surjective.

We use the following fact (see the proof of [2, Thm. 27]).

Lemma 4.16. — Let k be a field. Assume that k is perfect and WC-trivial for elliptic curves. Then k is of dimension ≥ 1 .

Proof of Theorem 1.6. — Lemma 4.16 implies that \bar{K} is of dimension ≥ 1 . Thus, the theorem follows from Theorems 4.4 and 4.14.

Example 4.17. — A field k is said to be *quasi-finite* if the absolute Galois group of k is isomorphic to the profinite completion of \mathbb{Z} . The WC-triviality for elliptic curves of \bar{K} is necessary in Theorem 1.6: there exist a complete discrete valuation field K with perfect quasi-finite residue field, a K -elliptic curve E_K with ordinary good reduction, and a non-trivial K -torsor X_K under E_K such that $P(X_K)^2 \nmid [k(x) : K]$ for any separable closed point x on X_K ([10, §4, p. 678] or [1]).

Acknowledgments. — The author thanks the referee for helpful comments. He thanks Professor Qing Liu for discussion on indices (§4.1), and l’Institut de Mathématiques de Bordeaux, Université Bordeaux 1 for warm hospitality. This work was supported by the Grant-in-Aid for Young Scientists (B) (25800018) from the JSPS (the Japan Society for the Promotion of Science), the Grant-in-Aid for Scientific Research (S) (24224001) from the JSPS, and the JSPS Program for Advancing Strategic International Networks to Accelerate the Circulation of Talented Researchers based on OCAMI (Osaka City University Advanced Mathematical Institute).

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